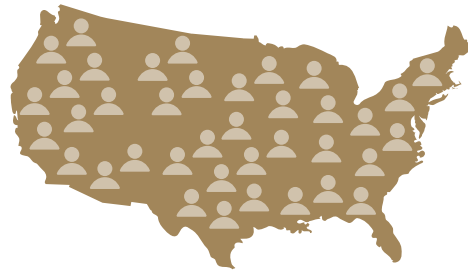




7 Questions

To Ask a Financial Advisor Before You Hire Them

THERE ARE
330,300
FINANCIAL
ADVISORS
IN THE U.S.
AS OF MARCH 2023¹



BUT ONLY
1 YOU



Before trusting someone with your wealth, have them answer these questions to make sure they're a good fit.

RELATIONSHIPS



1 What will our relationship look like?

Their formal portfolio review schedule and (un)willingness to meet with *you* are signs of how you might be treated.

2 How many clients do you have? Some financial advisors have hundreds of clients. You might find that appealing, but you might also want someone with more time to devote.

EXPERIENCE



3 What certifications or designations do you hold? These may show an advisor's commitment to expanding their knowledge. Have them explain the completed requirements and how you benefit.

4 Are you experienced advising someone in my situation? Finding an advisor with book smarts *and* real-world experience helping clients like you can be highly desirable.

MONEY



5 What's your investment philosophy? A financial advisor's stance on asset allocation and risk vs. reward can be telling.

6 How will you prepare me for a worst case scenario? A financial advisor is supposed to anticipate and help prevent mistakes you could make yourself.

7 How do you get paid? This is arguably the biggest question of all, so make sure you understand. It's your money, after all.

1. Source: US Bureau Labor Statistics, <https://www.financestrategists.com/financial-advisor/advisor-types/how-many-financial-advisors-are-in-the-us/>

Investment products and services are offered through Wells Fargo Advisors Financial Network, LLC (WFAFN), Member SIPC. The Princeton Group Oxford Harriman & Company is a separate entity from WFAFN.